PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

Attori	ney Docket No.	298-139	_
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Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375 Signature Date Aug. 28, 2001	(Only for new nonprovision	al applications under 37 CFR 1.53(b)) Ex	oress	Mail Label No. ^E	L8146001	1705		
Fee Transmittal Form (e.g., PTO/SB/17) Applicant claims small entity status. Specification - Gross Reference to Sequence Submission (if applicable, all recessary) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Bref Summary of the Invention of Store Invention - Bref Summary of the Invention of Store Invention - Bref Summary of the Invention of Store Invention of Store Invention of Invention of Store Invention of Inven	APPLICATION ELEMENTS				ADDRESS TO: Box Patent Application				
Computer Program (Appendix)									
2				/				table or	
a. ☐ Computer Readable Form (CRF) Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence Listing and Spendix Background of the Invention Brief Summary of the Invention Claim(s) Detailed Description Claim(s) Claim(s) Abstract of the Discorption of the Drawings (if filed) Detailed Description Claim(s) Developed Toron a prior application, see 37 CFR Description of the Organication see 37 CFR Signed statement altosched dislicing inventor(s) named in the prior application, see 37 CFR 1.63(d)(s) and 1.33(b). B. If a CONTINUINING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Total Sheet under 37 CFR 1.76: B. If a CONTINUINING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application float Sheet under 37 CFR 1.76: B. If a CONTINUINING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application float Sheet under 37 CFR 1.76: Continuation ☐ Dissonal ☐ Continuation in-part (CIP) Prior application floated Sheet under 37 CFR 1.76: Continuation ☐ Dissonal ☐ Continuation in-part (CIP) Prior application floated Sheet under 37 CFR 1.76: Continuation ☐ Dissonal ☐ Continuation in-part (CIP) Prior application floated application floated sheet under 37 CFR 1.76: Continuation floated application floated Sheet under 37 CFR 1.76: Continuation floated application floated sheet under 37 CFR 1.76: Continuation floated application floated sheet under 37 CFR 1.76: Continuation floated application floated sheet floated application floated floated application floated floated floated floated floated floated floated floated flo		mall entity status.		8. Nucl	eotide and/or Amino	Acid Seque	nce Su	ıbmission	
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- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4.	3. preferred arrangement	set forth below)		а	Computer Reada	able Form (C	JRF)		
- Statement Regarding Fed sponsored R & D - Reference to sequence listing, a lable, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Claim(s) - Claim(s) - Abstract of the Disclosure 4.	- Descriptive title	of the invention		b. S	pecification Sequent	ce Listing or	1;		
- Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4.	- Statement Rega	arding Fed sponsored R & D		i. CD-ROM or CD-R (2 copies); or					
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Abstract of the Disclosure A						•		1.11	
5. Oath or Declaration a. Newly executed (original or copy) a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1. Sign(s) and 1.33(b) 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Cortinuation Data Sheet under 37 CFR 1.76: The continuation of Divisional Continuation-in-part (CIP) Prior application information: Examinar For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, form which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Name Rocco S. Barrese, Esq. DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd. City Uniondale State New York Zip Code 11553 Country U.S.A Request Assat Certification with a preliminary amendment, for prior application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Request Assat Development Certification and in a preliminary amendment, or prior application and in a preliminary amendment, or prior application information. The prior application and in a preliminary amendment, or prior application information. 19. CORRESPONDENCE ADDRESS Group Art Unit. Correspondence address below Country Uniondale Request Assat Deviation No. (Altorney/Agent) Addr	- Abstract of the	Disclosure	,	l ^{10.} ∟	(when there is a	n assignee)		•	
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Customer Number or Bar Code Label Rocco S. Barrese, Esq. DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd. City Uniondale Vine Phone Country Correspondence address below Address State New York Zip Code 11553 Country U.S.A. Telephone (516) 228-8484 Fax (516) 228-8516 Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) Signature Date Aug. 28, 2001	The incorporation can only be	relied upon when a portion has been in	advertent	ly omitte	ed from the submitted	application	pans.		
Name Rocco S. Barrese, Esq. DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd. City Uniondale State New York Zip Code 11553 Country U.S.A Telephone (516) 228-8484 Fax (516) 228-8516 Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375 Signature Date Aug. 28, 2001		19. CORRESP	ONDENC		NE33				
DILWORTH & BARRESE, LLP	Customer Number or Bar Co	ode Label (Insert Customer No. or At	ach bar cod	e label he	or 🗸	Correspoi	ndence a	ddress below	
Address 333 Earle Ovington Blvd. City Uniondale State New York Zip Code 11553 Country U.S.A Telephone (516) 228-8484 Fax (516) 228-8516 Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375 Signature Date Aug. 28, 2001	Name	Rocco S. Barrese, Esq.							
City Uniondale State New York Zip Code 11553 Country U.S.A Telephone (516) 228-8484 Fax (516) 228-8516 Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375 Signature Date Aug. 28, 2001	DILWORTH & BARRESE, LLP								
Country U.S.A Telephone (516) 228-8484 Fax (516) 228-8516 Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375 Signature Date Aug. 28, 2001	Address	333 Earle Ovington Blvd.							
Name (Print/Type) George M. Kaplan Registration No. (Attorney/Agent) 28,375 Signature Date Aug. 28, 2001	City	Uniondale Si		-		Zip	Code		
Signature Date Aug. 28, 2001	Country	U.S.A	Teleph	one	(516) 228-8484	F	ах	(516) 228-8516	
Signature Put 110. Put 110.	Name (Print/Type)	George M. Kaplan		Regi	istration No. (Attor	ney/Agent,	28,3	75	
CERTIFICATION MIDER 27 CER \$ 110	Signature	Theye M. Hapl	L			Date	Aug	28, 2001	

CERTIFICATION NDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number _EL814600117US__ addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: _Aug. 28, 2001_

M. Kaplan
George M. Kaplan

09/940913

68-29-01



PATENT

Atty. Docket No. 298-139

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patent 20231 Washington, D.C. UTILITY APPLICATION FEE TRANSMITTAL

Sir: Transmitted herewith for filing is the patent application of

Inventor(s): Irina Menz and Guenther Dausmann

AN OPTICAL FEATURE, IN PARTICULAR FOR DOCUMENTS OF VALUE AND A MANUFACTURING METHOD FOR THE LATER For: INDIVIDUALIZATION OR DATA STORAGE

Enclosed are:

- page(s) of specification [x] <u>21</u>
- page(s) of Abstract [x] <u>1</u>
- page(s) of claims [x] ____7__
- sheets of drawings [x] formal [] informal $[x] _{3}$
- page(s) of Preliminary Amendment (w/marked-up copy) [x] <u>6</u>
- request and certification under 35 U.S.C.§122 []____
- page(s) of Declaration and Power of Attorney []____
- [] An Assignment of the invention to_

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL814600117US addressed to: Assistant Commissioner for Patents, Box Patent Application,

Washington, D.C. 20231.

Dated: August 28, 2001

Joseph M. Kaple

[]	Th	nis	application claims the benefit under 35 U.S.C. 3119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:	FILING DATE
/	

[x] Certified copy of applications

[X] CCICILIA		
		F <u>iled</u>
	Appln. No.	
Country		Oct. 12, 2000
	100 50 556.2	
GERMANY		Nov. 09, 2000
	100 55 429.6	
GERMANY		July 17, 2001
	01 117 285.5	<u> </u>
EUROPEAN	<u> </u>	ed States Code, § 119
10110=	under Title 35 Unit	ed states court -

from which priority under Title 35 United States Code, § 119 is claimed

[] is enclosed.

[x] will follow.

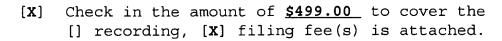
CALCULATION OF UTILITY APPLICATION FEE *

	Number Filed	Number Extra	<u>Rate</u>		asic Fee 710.00
For Total Claims**		= 16	x \$ 18.00	ç	288.00
Independent Claims Multiple	3 -3	= 0 Add'l.	x \$ 80.00 Fee \$270.00	<u>\$</u>	0.00
Dependent Claims	[x] no	Add'l.	Fee None TOTAL	=	\$ <u>998.00</u>
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- Reduced "Small Entity" Status Under 37 C.F.R. § 1.27. fees under 37 C.F.R. § 1.9(f) (50% of 998.00 [x]total) paid herewith \$ 499.00.
- The amount of \$____for recording the attached Assignment is included in a separate check.

^{*} Based upon accompanying Preliminary Amendment

^{**} includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).



- [] Charge fee to Deposit Account No. 04-1121. Order No. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: August 28, 2001

George M. Kaplan Reg. No. <u>28,375</u>

DILWORTH & BARRESE, LLP. 333 Earle Ovington Blvd. Uniondale, NY 11553 Tel. No. (516) 228-8484 Fax. (516) 228-8516